



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 36th Floor  
Examiner : 1600 Market Street  
Serial No. : 09/155,514 Philadelphia, PA 19103  
Filed : November 17, 1998  
PCT No. : PCT/JP98/00370  
PCT Filed : January 29, 1998  
Inventors : Mie Kainoh Docket: 1102-98  
          : Toshiaki Tanaka  
Title : CHIMERIC PROTEINS, THEIR  
       : HETERODIMER COMPLEXES,  
       : AND PLATELET SUBSTITUTES

Dated: January 5, 2000

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**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, DC 20231

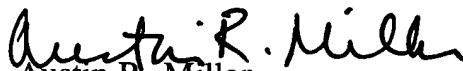
Sir:

We are enclosing a Substitute Sequence Listing for that filed September 29, 1998. We are also filing a computer readable copy of the Sequence Listing and a Statement of Patrick J. Farley, Ph.D. asserting that the computer readable copy and the printed copy are the same.

We also note several typographical errors which have been corrected in this Substitute Sequence Listing. No new matter has been added.

We look forward to an action on the merits, and prompt allowance of the claims.

Respectfully submitted,

  
Austin R. Miller  
Reg. No. 16,602

ARM:djs  
(215) 563-1810

TECH CENTER 1600/2500  
JAN 12 2000



Application No.: 09155514

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: SEE ENCLOSED NOTE

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**



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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Certificate of Mailing Under 37 CFR 1.8

For

Postcard  
Transmittal Letter  
Copy of Notice to Comply With Requirements  
Sequence Listing (Paper Copy)  
Sequence Listing (Computer Readable Copy)  
Declaration of Patrick J. Farley, Ph.D.

JAN 12 2000  
TECH CENTER 1600/2900

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on the date appearing below.

Name of Applicant, Assignee, Applicant's Attorney  
or Registered Representative:

Austin R. Miller  
Schnader Harrison Segal & Lewis  
36th Floor  
1600 Market Street  
Philadelphia, PA 19103  
(215) 563-1810

By: Austin R. Miller

Date: 1/5/00